

Solving String Constraints with Regex-Dependent Functions through Transducers with Priorities and Variables

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- **Background**
- Real-world Regular Expression and PSST
- The String Logic and Decision Procedure
- Implementation

- The **string** type is ubiquitous in practical programs.
- Abundant operations for manipulating strings are provided
 - `replace`, `extract`, `match` . . .
 - `split`, `join`, `indexOf` . . .

- The **string** type is ubiquitous in practical programs.
- Sadly, strings are vulnerable to attacks¹.

Injection

```
String query = "SELECT * FROM accounts WHERE custID='"  
+ request.getParameter("id") + "'";
```

Cross-Site Scripting (XSS)

```
String page += "<input name='creditcard' type='TEXT' value='"  
+ request.getParameter("CC") + "'>";
```

Insecure Deserialization



1. https://owasp.org/www-project-top-ten/2017/Top_10

Q1: How to analyze and verify string-manipulating programs?

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Constraint-based verification

```
// XSS vulnerable
function instantiate(info) {
  var template =
    "<h1>User<span onMouseOver='popupText('{{bio}}')'>{{userName}}</span></h1>"
  var result = template.replace("{{bio}}", info.bio);
  result = template.replace("{{userName}}", info.username);
  return result;
}
```

$\Rightarrow x_1 = \text{replaceAll}(\text{temp}, \text{"{{bio}}"}, \text{bio}) \wedge x_2 = \text{replaceAll}(x_1, \text{"{{userName}}"}, \text{user}) \wedge x_2 \in R$

Q2: Are existing string theories/solvers sufficient for verifying practical programs?

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- greedy/lazy matching: `a*` versus `a*?`

`<script>foo</script>`

matched by

`<(.*?)>`

`<(.*?)>`

result

`<script>foo</script>`

`<script>`

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- capturing groups and references:

```
var t = replace(s, /((ab*?)+)/g, $2);
```

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- greedy/lazy matching: `a*` versus `a*?`
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```
var t = replace(s, /((ab*?)+)/g, $2);
```

- anchors:

```
s.match(/^a+(b*)c+$/);
```

Example. (Nested Repetition)

In Javascript, some operators' behaviour depends on its context. For example, the following statement:

```
var result = "aaa".match(/(a*)*/)[1]
```

returns "aaa", while

```
var result = "aaa".match(/(a*?)*/*)[1]
```

returns "a".²

2. The ECMAScript standard prohibits the match of e in e^* to be ϵ . <https://262.ecma-international.org/12.0/#sec-runtime-semantics-repeatmatcher-abstract-operation>

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Definition 1. (Real-world Regular Expression, regex)

A real-world regular expression is defined as:

$$e \stackrel{\text{def}}{=} \emptyset \mid \varepsilon \mid a \mid [e + e] \mid [e \cdot e] \mid$$

| | |
|--|-----------------|
| (e) | Capturing Group |
| $[e^?] \mid [e^{??}]$ | Optional |
| $[e^*] \mid [e^{*?}]$ | Kleene Star |
| $[e^+] \mid [e^{+?}]$ | Kleene Plus |
| $[e^{\{m_1, m_2\}}] \mid [e^{\{m_1, m_2\}^?}]$ | Repetition |

where a is a letter in alphabet Σ , $m_1, m_2 \in \mathbb{N}$ with $m_1 \leq m_2$.

It's hard to give a denotational semantics to regex.

Operational semantics?

We construct a *Prioritized Streaming String Transducers (PSST)* \mathcal{T}_e for each regex e inductively as its operational semantics.

Definition. (Prioritized Streaming String Transducers) A prioritized streaming string transducer is an octuple $\mathcal{T} = (Q, q_0, \Sigma, X, \delta, \tau, E, F)$, where

- Q is a finite set of states, $q_0 \in Q$ is the initial state
- Σ is the input and output alphabet
- X is a finite set of string variables
- $\delta \in Q \times \Sigma \rightarrow \bar{Q}$ defines the non- ε transitions as well as their priorities (from highest to lowest)
- $\tau \in Q \rightarrow \bar{Q} \times \bar{Q}$ such that for every $q \in Q$, if $\tau(q) = (P_1; P_2)$, then $P_1 \cap P_2 = \emptyset$,
- E associates with each transition a string-variable assignment function, i.e., E is partial function from $Q \times \Sigma^\varepsilon \times Q$ to $X \rightarrow (X \cup \Sigma)^*$ such that its domain is the set of tuples (q, a, q') satisfying that either $a \in \Sigma$ and $q' \in \delta(q, a)$ or $a = \varepsilon$ and $q' \in \tau(q)$
- F is the output function, which is a partial function from Q to $(X \cup \Sigma)^*$

PSST extends finite state transducer with:

- Priorities: nondeterministic transitions are ordered
- Memory: a fixed number of *string variables* containing unbounded string.

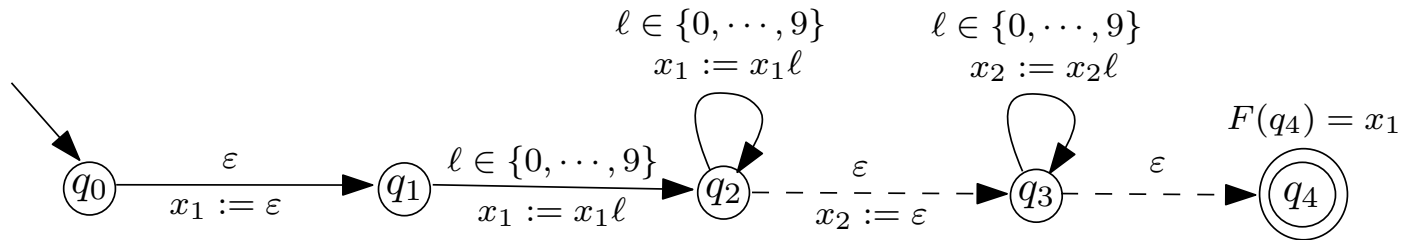


Figure 1. PSST \mathcal{T} to extract the matching of the first capturing group in $(\backslash d+)(\backslash d^*)$

The accepted run of \mathcal{T} on the input string 2022 is:

$$q_0 \xrightarrow[\varepsilon]{x_1 := \varepsilon} q_1 \xrightarrow[2]{x_1 := x_1 2} q_2 \xrightarrow[0]{x_1 := x_1 0} q_2 \xrightarrow[2]{x_1 := x_1 2} q_2 \xrightarrow[2]{x_1 := x_1 2} q_2 \xrightarrow[\varepsilon]{x_2 := \varepsilon} q_3 \xrightarrow[\varepsilon]{} q_4,$$

...with output 2022.

We construct a PSST \mathcal{T}_e for each regex e inductively as its operational semantics.

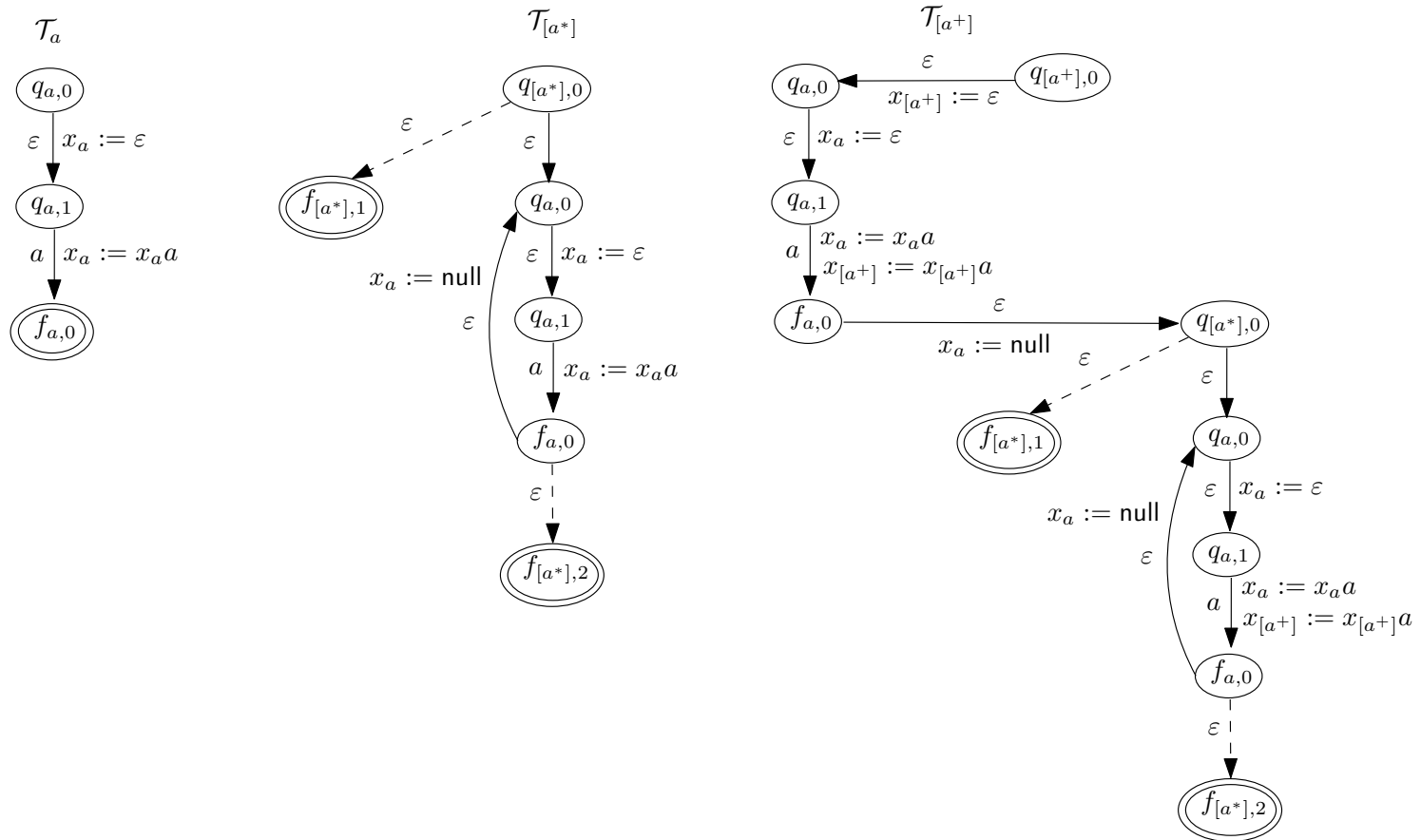


Figure 2. PSST for the regex a , a^* and a^+

We conduct experiments to validate the formal semantics against the actual JavaScript regex-string matching semantics.

For each regex e we construct \mathcal{T}_e and generate an input string w and the corresponding output string w' . We execute the following code in Node.js:

```
var x = w; console.log(x.match(reg)[1]);
```

And compare the output against w' .

1110 nontrivial regexes, including all operators, are tested. We confirm consistency of semantics on all of them.

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Definition 2. (STR) *The well-formed formula of the theory STR is defined as:*

$$\begin{array}{l} \varphi \stackrel{\text{def}}{=} x = y \\ | z = x \cdot y \quad \text{concatenation} \\ | x \in e \quad \text{regular constraint} \\ | y = \text{extract}_{i,e}(x) \quad \text{extraction} \\ | y = \text{replaceAll}_{\text{pat},\text{rep}}(x) \quad \text{replacement} \\ | \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \neg \varphi \end{array}$$

where x, y, z are string variables, $i \in \mathbb{N}$ is the index of capturing groups, $e, \text{pat} \in \text{regex}$ is the match pattern, and $\text{rep} \in \mathfrak{R}$ is the replacement string. \mathfrak{R} is defined as the concatenation of letters in Σ and references $\$i$, for $i \in \mathbb{N}$.

Theorem 1. *For each constraint $y = \text{extract}_{i,\text{pat}}(x)$ and $y = \text{replaceAll}_{\text{pat},\text{rep}}(x)$. an equivalent PSST \mathcal{T} can be constructed. (Lemma 4.7)*

Theorem 2. *STR is undecidable.*

Proof. Followed directly from³



3. Anthony W. Lin and Pablo Barceló. 2016. String Solving with Word Equations and Transducers: Towards a Logic for Analysing Mutation XSS (POPL '16). ACM, 123–136

Sequent Calculus for STR

we handle **STR** constraints with a sound sequent calculus.

$$\begin{array}{c}
 \wedge \frac{\Gamma, \varphi, \psi}{\Gamma, \varphi \wedge \psi} \quad \neg\vee \frac{\Gamma, \neg\varphi, \neg\psi}{\Gamma, \neg(\varphi \vee \psi)} \quad \vee \frac{\Gamma, \varphi \quad \Gamma, \psi}{\Gamma, \varphi \vee \psi} \quad \neg\wedge \frac{\Gamma, \neg\varphi \quad \Gamma, \neg\psi}{\Gamma, \neg(\varphi \wedge \psi)} \quad \neg\neg \frac{\Gamma, \varphi}{\Gamma, \neg\neg\varphi} \\
 \notin \frac{\Gamma, x \in e^c}{\Gamma, x \notin e} \quad \neq \frac{\Gamma, x \neq y, y = f(x_1, \dots, x_n)}{\Gamma, x \neq f(x_1, \dots, x_n)} \quad \text{where } y \text{ is fresh} \quad \text{CUT} \frac{\Gamma, x \in e \quad \Gamma, x \in e^c}{\Gamma} \\
 =\text{-PROP} \frac{\Gamma, x \in e, x = y, y \in e}{\Gamma, x \in e, x = y} \quad \neq\text{-SUBSUME} \frac{\Gamma, x \in e_1, y \in e_2}{\Gamma, x \in e_1, x \neq y, y \in e_2} \quad \text{if } \mathcal{L}(e_1) \cap \mathcal{L}(e_2) = \emptyset \\
 =\text{-PROP-ELIM} \frac{\Gamma, x \in e, y \in e}{\Gamma, x \in e, x = y} \quad \text{if } |\mathcal{L}(e)| = 1 \quad \neq\text{-PROP-ELIM} \frac{\Gamma, x \in e, y \in e^c}{\Gamma, x \in e, x \neq y} \quad \text{if } |\mathcal{L}(e)| = 1 \\
 \text{CLOSE} \frac{}{\Gamma, x \in e_1, \dots, x \in e_n} \quad \text{if } \mathcal{L}(e_1) \cap \dots \cap \mathcal{L}(e_n) = \emptyset \\
 \text{SUBSUME} \frac{\Gamma, x \in e_1, \dots, x \in e_n}{\Gamma, x \in e, x \in e_1, \dots, x \in e_n} \quad \text{if } \mathcal{L}(e_1) \cap \dots \cap \mathcal{L}(e_n) \subseteq \mathcal{L}(e)
 \end{array}$$

we handle **STR** constraints with a sound sequent calculus.

$$\text{INTERSECT} \frac{\Gamma, x \in e}{\Gamma, x \in e_1, \dots, x \in e_n} \quad \text{if } n > 1 \text{ and } \mathcal{L}(e_1) \cap \dots \cap \mathcal{L}(e_n) = \mathcal{L}(e)$$

$$\text{FWD-PROP} \frac{\Gamma, x \in e, x = f(x_1, \dots, x_n), x_1 \in e_1, \dots, x_n \in e_n}{\Gamma, x = f(x_1, \dots, x_n), x_1 \in e_1, \dots, x_n \in e_n} \quad \text{if } \mathcal{L}(e) = f(\mathcal{L}(e_1), \dots, \mathcal{L}(e_n))$$

$$\text{FWD-PROP-ELIM} \frac{\Gamma, x \in e, x_1 \in e_1, \dots, x_n \in e_n}{\Gamma, x = f(x_1, \dots, x_n), x_1 \in e_1, \dots, x_n \in e_n} \quad \text{if } \mathcal{L}(e) = f(\mathcal{L}(e_1), \dots, \mathcal{L}(e_n)) \text{ and } |\mathcal{L}(e)| = 1$$

$$\text{BWD-PROP} \frac{\{\Gamma, x \in e, x = f(x_1, \dots, x_n), x_1 \in e_1^i, \dots, x_n \in e_n^i\}_{i=1}^k}{\Gamma, x \in e, x = f(x_1, \dots, x_n)} \quad \text{if } f^{-1}(\mathcal{L}(e)) = \bigcup_{i=1}^k (\mathcal{L}(e_1^i) \times \dots \times \mathcal{L}(e_n^i))$$

- It is shown in previous work⁴ that the preimage of concatenation, $\cdot^{-1}(L(e))$, is computable.
- Is the preimage of a PSST computable?

4. T. Chen, Y. Chen, M. Hague, A. W. Lin, and Z. Wu, ‘What is decidable about string constraints with the ReplaceAll function’, *PACMPL*, vol. 2, no. POPL, p. 3:1-3:29, 2018

Theorem 3.

Given a PSST \mathcal{T} and an FA A , we can compute an FA B in exponential time such that $B = \mathcal{T}^{-1}(A)$. (Lemma 5.5)

Proof. By simulation. Available in full version of the paper⁵. □

5. <https://arxiv.org/pdf/2111.04298.pdf>

Theorem 4. *STR is undecidable.*

Proof. Followed directly from⁶



But...

Theorem 5. *The straight-line fragment of STR is decidable.*

6. Anthony W. Lin and Pablo Barceló. 2016. String Solving with Word Equations and Transducers: Towards a Logic for Analysing Mutation XSS (POPL '16). ACM, 123–136

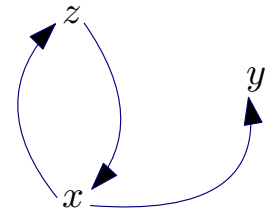
Straight-line Fragment

Definition 3. A STR formula φ is said to be **straight-line**, if

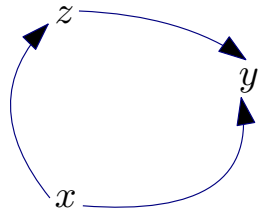
1. it contains neither negation nor disjunction
2. φ can be ordered into a sequence of equations $x_1 = t_1, x_2 = t_2, \dots, x_n = t_n$ plus regular constraints, such that x_1, \dots, x_n are mutually distinct, and for each $i \in \{1, \dots, n\}$, x_i does not occur in t_1, \dots, t_{i-1} .

Let STR_{SL} denote the set of straight-line STR formulas.

$$z = \text{replaceAll}_{(a+b)^*, \$1}(x) \wedge x = y \cdot z \wedge y \in ab^* \quad \times$$



$$z = \text{replaceAll}_{(a+b)^*, \$1}(y) \wedge x = y \cdot z \wedge y \in ab^* \quad \checkmark$$



Every STR_{SL} formula φ can be simplified into conjunctions of formulas of the form $z = x \cdot y$, $y = \mathcal{T}(x)$ and $x \in A$, where \mathcal{T} is a PSST and A is an FA.

Example. The following constraint:

$$\begin{aligned} x \in A_x & \wedge \\ y = \mathcal{T}(x) & \wedge y \in A_y \\ z = x \cdot y & \wedge z \in A_z \end{aligned}$$

is straight-line. We decide its satisfiability by iteratively computing **pre-images** of regular constraints under \cdot (concatenation) and \mathcal{T} .

Step 1. For $z = x \cdot y$ and $z \in A_z$, by previous results, we can compute $\cdot^{-1}(A_z) = \{(x, y) \mid x \cdot y \in A_z\} = \bigcup_1^n A'_{i,x} \times A'_{i,y}$ for some $A'_{i,x}$ and $A'_{i,y}$.

Example. The following constraint:

$$\begin{aligned} & x \in A_x \quad \wedge \\ & y = \mathcal{T}(x) \quad \wedge \quad y \in A_y \\ & z = x \cdot y \quad \wedge \quad z \in A_z \end{aligned}$$

is straight-line. We decide its satisfiability by iteratively computing **pre-images** of regular constraints under \cdot (concatenation) and \mathcal{T} .

$$\begin{aligned} & x \in A_x \cap A'_{i,x} \quad \wedge \\ & y = \mathcal{T}(x) \quad \wedge \quad y \in A_y \cap A'_{i,y} \\ & \cancel{z} \neq \cancel{x} \cdot \cancel{y} \quad \wedge \quad \cancel{z} \notin \cancel{A}_z \end{aligned}$$

Example. The remaining constraint:

$$\begin{aligned} x \in A_x \cap A'_x \quad \wedge \\ y = \mathcal{T}(x) \quad \wedge \quad y \in A_y \cap A'_y \end{aligned}$$

Step 2. For $y = \mathcal{T}(x)$ and $y \in A_y \cap A'_y$, we compute $A''_x = \text{Pre}(\mathcal{T}, A_y \cap A'_y) = \mathcal{T}^{-1}(A_y \cap A'_y) = \{x \mid \mathcal{T}(x) \in A_y \cap A'_y\}$.

Example. The remaining constraint:

$$x \in A_x \cap A'_x \cap A''_x \\ y \neq \mathcal{T}(x) \wedge y \in A_y \cap A'_y$$

Step 3. We check the emptiness of $A_x \cap A'_x \cap A''_x$. If the language is empty, the constraint is unsatisfiable. Otherwise, it's satisfiable.

OSTRICH: Optimistic STRIng Constraint Handler⁷

Version 1.1 now supports solving constraints with real-world regular expressions.

- The first and yet the only solver with such support
- The implementation supports more features like anchors.

7. <https://github.com/uuverifiers/ostrich>

RQ: How does OSTRICH compare to other solvers that can handle real-world regular expression?

We evaluate OSTRICH on over 195 000 string constraints.

It greatly increase precision and efficiency (18x) compared to previous approximation-based methods.

| Average Time | OSTRICH | ExpoSE+Z3 |
|------------------------------|---------|-----------|
| match (98,117 constraints) | 1.57s | 28.0s |
| replace (98,117 constraints) | 6.62s | 55.0s |

In our work, we propose:

1. The first string theory and solver supporting *regex* and regex-dependent string-manipulating functions.
2. A new automata model called Prioritized Streaming String Transducer (PSST) to precisely capture the semantics of real-world regular expressions.
3. The proof of regularity-preserving property of PSST.
4. A sound sequent calculus for solving the string theory, which is complete for straight-line fragment.